




ENCLOSURES <i>(check all that apply)</i>	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <div style="margin-left: 20px;"><input checked="" type="checkbox"/> Check Enclosed</div> <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <div style="margin-left: 20px;"><input type="checkbox"/> Copies of IDS Cited References</div> <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input checked="" type="checkbox"/> Amendment/Response: [8] Page(s) <div style="margin-left: 20px;"><input type="checkbox"/> After Final</div> <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): <div style="margin-left: 40px;">[] Sheet(s) of Figure(s) []</div> <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <div style="margin-left: 40px;"><i>(Appeal Notice, Brief, Reply Brief)</i></div> <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____
REMARKS:.	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:		Hsiang H. Lin	Dated: April 7, 2005
Express Mail Mailing Number (optional):			



☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$) 100.00
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Complete if Known

Application Number	10/635,752
Filing Date	August 5, 2003
First Named Inventor	Vincent Alan Larsen
Examiner Name	Not yet known
Art Unit	2131
Attorney Docket No.	24089-09281

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:

Deposit Account Number

19-2555

Deposit Account Name

Fenwick & West LLP

The Commissioner is authorized to: *(check all that apply)*

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Expense Recognition	Expenses are recognized when the related asset is consumed or the liability is incurred.	Expenses are recognized when the related asset is consumed or the liability is incurred.
3. Asset Recognition	Assets are recognized when the entity has control over a resource that is expected to generate future economic benefits.	Assets are recognized when the entity has control over a resource that is expected to generate future economic benefits.
4. Liability Recognition	Liabilities are recognized when the entity has a present obligation to transfer economic resources in the future.	Liabilities are recognized when the entity has a present obligation to transfer economic resources in the future.
5. Equity Recognition	Equity is recognized as the residual interest in the entity's assets after deducting liabilities.	Equity is recognized as the residual interest in the entity's assets after deducting liabilities.

Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		

SUBTOTAL (1)	(\$)	0
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims			Fee from below		Fee Paid
Total Claims	24	-20**= 4	x	25	=	100
Independent Claims	3	-3**= 0	x	100	=	0
Multiple Dependent					=	

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Expense Recognition	Expenses are recognized when the related asset is consumed or the liability is incurred.	Expenses are recognized when the related asset is consumed or the liability is incurred.
3. Asset Recognition	Assets are recognized when the company has control over a resource that is expected to generate future economic benefits.	Assets are recognized when the company has control over a resource that is expected to generate future economic benefits.
4. Liability Recognition	Liabilities are recognized when the company has a present obligation to transfer an asset or provide a service in the future.	Liabilities are recognized when the company has a present obligation to transfer an asset or provide a service in the future.
5. Equity Recognition	Equity is recognized as the residual interest in the assets of the company after deducting all liabilities.	Equity is recognized as the residual interest in the assets of the company after deducting all liabilities.

<u>Fee</u> <u>Code</u>	<u>Fee</u> <u>(\$)</u>	<u>Fee</u> <u>Code</u>	<u>Fee</u> <u>(\$)</u>	<u>Fee Description</u>
1202	50	2202	25	Claims in excess of 20
1201	200	2201	100	Independent claims in excess of 3
1203	360	2203	180	Multiple dependent claim, if not paid
1204	200	2204	100	**Reissue independent claims over original patent
1205	50	2205	25	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$) 100
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**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>
<p>1. <u>Revenue</u></p> <p>2. <u>Cost of Sales</u></p> <p>3. <u>Gross Profit</u></p> <p>4. <u>Operating Expenses</u></p> <p>5. <u>Operating Income</u></p> <p>6. <u>Other Income</u></p> <p>7. <u>Other Expenses</u></p> <p>8. <u>Income Before Taxes</u></p> <p>9. <u>Income Tax Expense</u></p> <p>10. <u>Net Income</u></p>	<p>1. <u>Revenue</u></p> <p>2. <u>Cost of Sales</u></p> <p>3. <u>Gross Profit</u></p> <p>4. <u>Operating Expenses</u></p> <p>5. <u>Operating Income</u></p> <p>6. <u>Other Income</u></p> <p>7. <u>Other Expenses</u></p> <p>8. <u>Income Before Taxes</u></p> <p>9. <u>Income Tax Expense</u></p> <p>10. <u>Net Income</u></p>

Fee Code	Fee (\$)	Fee Code	Fee (\$)
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1051	130	2051	65	Surcharge - late filing fee or oath or declaration
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	2053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	120	2251	60	Extension for reply within first month
1252	450	2252	225	Extension for reply within second month
1253	1020	2253	510	Extension for reply within third month
1254	1,590	2254	795	Extension for reply within fourth month
1255	2,160	2255	1,080	Extension for reply within fifth month
1401	500	2401	250	Notice of Appeal
1402	500	2402	250	Filing a brief in support of an appeal
1403	1000	2403	500	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	500	2452	250	Petition to revive - unavoidable
1453	1,500	2453	750	Petition to revive - unintentional
1501	1,400	2501	700	Utility issue fee (or reissue)
1502	800	2502	400	Design issue fee
1503	1100	2503	550	Plant issue fee
1460	—	1460	—	Petitions to the Director
1807	50	1807	50	Processing fee for Provisional Applications
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))
1801	790	2801	395	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify)

SUBTOTAL (3)	(\$) 0
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•Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)

James H. Lin

Registration No.
(Attorney/Agent)

56,403

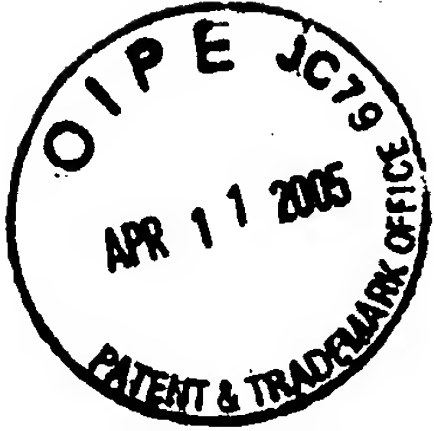
Complete (if applicable)

Telephone (650) 335-7203

Signature

Date _____

April 7, 2005



EFW

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT: Vincent Alan Larsen
APPLICATION NO.: 10/635,752
FILING DATE: August 5, 2003
TITLE: PROCESS BASED SECURITY SYSTEM AUTHENTICATION SYSTEM AND METHOD
EXAMINER: Not yet known
GROUP ART UNIT: 2131
ATTY. DKT. NO.: 24089-09281

CERTIFICATE OF MAILING

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Dated: 4-7-05 By: [Signature]
Hsiang H. Lin, Reg. No. 56,403

MAIL STOP AMENDMENT
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ALEXANDRIA, VA 22313-1450

PRELIMINARY AMENDMENT

SIR:

Prior to examination of the above-referenced application, please enter the following amendment.

Amendments to the claims begin on page 2.

Remarks begin on page 8.

04/12/2005 LWONDIM1 00000038 10635752

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